Critical System Governance Attestation

Agency Name: Department of Financial Institutions

Name of Agency Contact: Dave Kirk, CIO

Agency Contact Phone Number: (360) 902-8788

Name of Critical System	Name and Title of Business Sponsor	Have known problems been reviewed to ensure appropriate priority and accountability?	Have pending enhancement requests been reviewed to ensure appropriate priority and accountability?
Banks Semi-Annual Asset Assessment	Rick Riccobono Division Director, Banks	Yes. No Known Problems.	Yes. No Pending Enhancements.
Trust Semi-Annual Asset Assessment	Rick Riccobono Division Director, Banks	Yes. No Known Problems.	Yes. No Pending Enhancements.
Credit Union Semi Annual Assessment	Linda Jekel Division Director, Credit Unions	Yes. No Known Problems.	Yes. No Pending Enhancements.
Money Services Assessment	Charles Clark Division Director, Consumer Services	Yes. No Known Problems.	Yes. No Pending Enhancements.
Renewal for Escrow Officers	Charles Clark Division Director, Consumer Services	Yes. No Known Problems.	Yes. No Pending Enhancements.
Consumer Loan Assessments	Charles Clark Division Director, Consumer Services	Yes. No Known Problems.	Yes. No Pending Enhancements.
Franchise Broker Filings	William Beatty Division Director, Securities	Yes. No Known Problems.	Yes. No Pending Enhancements.

Franchise Exemption Filings	William Beatty Division Director, Securities	Yes. No Known Problems.	Yes. No Pending Enhancements.
Franchise Filings	William Beatty Division Director, Securities	Yes. No Known Problems.	Yes. No Pending Enhancements.

Name of Agency Director: Scott Jarvis

Signature of Agency Director/Date: